ATTACHMENT C

CERTIFIED COPY

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DEPOSITION OF NANCY GIROUX,
1
   a witness, called by the Plaintiff for examination,
   in accordance with the Federal Rules of Civil
2
   Procedure, taken by and before Toni Rennebeck, RPR, a
   Court Reporter and Notary Public in and for the
    Commonwealth of Pennsylvania, at the Law Offices of
   Neal A. Sanders, 1924 North Main Street Extension,
   Butler, Pennsylvania, on Thursday, January 20, 2005,
   commencing at 1:05 p.m.
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    APPEARANCES:
8
          FOR THE PLAINTIFF:
    Neal A. Sanders, Esq.
    LAW OFFICES OF NEAL A. SANDERS
    1924 North Main Street Extension
    Butler, PA 16001
    74-282-7771
11
12
          FOR THE DEFENDANT:
    Thomas G. Eddy, Esq.
    Senior Deputy Attorney General
    Office of Attorney General
14
    Commonwealth of Pennsylvania
    Litigation Section
15
    6th Floor, Manor Complex
16
    564 Forbes Avenue
    Pittsburgh, PA 15219
    412-565-3578
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NANCY GIROUX,

being first duly sworn,

was examined and testified as follows:

EXAMINATION

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BY MR. SANDERS:

- Would you state your full name for the record. 9 Q.
- Nancy Ann Giroux. Α. 10
- 11 Ms. Giroux, that is G-I-R-O-U-X?
- Yes; that's correct. 12 Α.
 - My name is Neal Sanders and I'm an attorney here in the Commonwealth of Pennsylvania. And in particular we're here today in a civil case involving Brian Pierce and the Pennsylvania Department of Corrections and it is pending at Civil Action No. 03-173 Erie. And it's been assigned to the Honorable Magistrate Judge Susan Baxter and Federal District Judge Sean McLaughlin.

I want to thank you for coming to my office in Butler, Pennsylvania this afternoon for your deposition. You were not the first witness to be deposed this afternoon but we're

> NMR COURT REPORTERS Gibsonia, PA 724-444-4433

- Q. A male LPN that had worked at SCI Cambridge

 Springs from January of '01 until May of '02.

 Does that refresh your recollection?
- A. Yes.

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Q. Now, do you recall the vacancy that he filled in January of '01? The name of the employee who had left SCI Cambridge Springs where Brian then filled that position when he came over from Albion?

Does Peggy Sue Haight --

- 11 A. I was going to say would that be Peggy Haight?
- 12 Q. Okay. Do you remember Peggy Haight?
- 13 | A. Yes.
- 14 Q. Now, was Peggy Haight an LPN?
- 15 A. Yes.
- 16 Q. And would you agree with me that she quit or resigned as opposed to being terminated?
- 18 | A. Yes.
- 19 Q. Now, I'm going to throw some names out at you and see if you can recall any of these people.
- 21 You mentioned you knew Judy Weyers.
- 22 | A. Uh-huh.
- 23 Q. Is that one of those verbal yeses?
- 24 A. Yes.
- $25 \parallel Q$. Okay. Do you recall that Judy Weyers was a

	0	Okay. Now, other than Brian Pierce, can you
1	Q.	
2		think of any other male RN or LPN that was
3		terminated under your watch at Cambridge?
4	Α.	I believe that Brian Pierce is the only one
5		that's been terminated.
6	Q.	Okay. At Cambridge Springs.
7	Α.	Yes. I'm sorry.
8	Q.	I imagine you've heard the name Michael White,
9		Dominic White's cousin, who was terminated at
10		Albion?
11	Α.	Yes.
12	Q.	It went to jury trial in Erie in the year 2002?
13	Α.	Yes.
14	Q.	How did you come to know about Michael White or
15		his case?
16	A.	Through Brian Pierce.
17	Q.	Is it possible that you also had a discussion
18	1	from time to time, or at least one time, with
19		Maxine Overton about the Michael White case?
20	Α.	I don't recall any conversations with Maxine
21		Overton about that case in particular.
22		I believe that most of my information
23		that I did have, which was minimal, came from
24	1)	Brian Pierce.
25	Q.	Okay. Now, a name has come up in this case by

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1		correctional officer at?
2	Α.	I don't believe any institution.
3	Q.	Do you know why he might be listed as a
4		correctional officer for the state?
5	Α.	No, I don't.
6	Q.	What became of Mr. Kelley that he's not employed
7		at Cambridge Springs any longer?
8	Α.	He resigned, or retired I should say. Retired.
9	Q.	He was a male individual; correct?
10	Α.	Yes, sir.
11	Q.	Was he an RN or an LPN?
12	Α.	He was an RN.
13	Q.	And you mentioned you knew about Michael White
14		you mentioned you thought through Brian Pierce;
15		is that correct?
16	Α.	That's correct.
17	Q.	All right. You knew Peggy Sue Haight.
18		Let's talk about Yvonne McGuire. Is
19		Ms. McGuire employed at Cambridge any longer?
20	Α.	Yes, she is.
21	Q.	What is her current position?
22	Α.	An LPN.
23	Q.	When you were at Cambridge Springs and Brian
24		Pierce was working as an LPN from January of '01
25		to May of '02, did Yvonne McGuire's name ever

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come up concerning anything that Brian Pierce was being investigated for? Yes. Α. Can you give me the names of my female LPN's that you know first-hand have been terminated from SCI Cambridge Springs between June of 2000 and May of '02 as opposed to resigning? 7 Actually being terminated for cause? 9 No. We've had one person that resigned in lieu Α. 10 of termination. But in terms of actual terminations you have 11 12 No females? No female RN's, no female none? LPN's that have been terminated for cause? 13 14 Α. No. As I stated, Brian Pierce is the only one that I know that was terminated, male or female. 15 16 You know from whatever your sources are that 17 Michael White was terminated. He was a male LPN 18 or RN. You know that; right? 19 Α. Correct. 20

- Q. Do you know of any other females that were terminated from SCI Albion during the time that you had interaction with the supervisors and health care administrators at Albion?
- Α. No. I don't know either way.
- Sandy -- if I don't pronounce it right you'll Ο.

become, what did you say, the superintendent? 1 Yes. May. I believe it was May of 2004. 2 Α. Do you know Millie Eldred? 3 Q. 4 Α. Yes. Who's that? 5 Ο. Elly Eldred. She's an RN. 6 Α. 7 Is she still employed at SCI Cambridge Springs? Q. No, she's not. 8 Α. Is she employed at any SCI? 9 She's -- well, I'm sorry, I take that back. 10 Α. 11 was just retired as an annuitant at SCI Cambridge Springs. 12 In other words, she retired and was hired back? 13 Yeah, on a temporary basis. I believe it's 90 14 15 days. 16 Okay. What month of what year did she leave 17 initially as a retiree? 18 I believe that she retired in November of '04. Α. 19 Or October of '04. I'm not really quite sure. When Peggy Sue Haight quit in 2000, how was it 20 21 that that vacancy was let to be known over to 22 the folks at Albion? 23 It's posted statewide that we have a vacancy. 24 Were there any other individuals who had applied 25 for that vacancy other than Brian Pierce?

1	Α.	There was multiple.
2	Q.	Did you have anything to do or any contact with
3		the SCI Albion people before Brian Pierce was
4		approved for transfer about him coming over and
5	l	what his background was?
6	Α.	As far as a conversation?
7	Q.	Yes.
8	Α.	Yes.
9	Q.	With whom would you have talked at SCI Albion?
10	Α.	Maxine Overton.
11	Q.	Anyone else?
12	Α.	No.
13	Q.	What do you recall Maxine Overton telling you
14		about Brian Pierce?
15	Α.	That he was a good nurse.
16	Q.	Did she tell you that he was under investigation
17		for an alleged incident in 2000?
18	Α.	No. I didn't know about that until after he
19	1.	already came.
20	Q.	How did you find out about it after he came?
21	Α.	Administration had set up a PDC, it's a
22		predisciplinary conference, for him at our
23		institution.
24	Q.	This Yvonne McGuire, do you know her to be
25		involved as a union steward or a union rep from

time to time?

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- A. She is a union rep for AFSCME.
- Q. And you're familiar with the fact that there was problems that were existing between Ms. McGuire and Mr. Pierce at SCI Cambridge Springs?
- A. Yes, there was. At times we would meet to resolve them.
- 8 Q. From what you know, was Ms. McGuire ever
 9 disciplined for any of the complaints that
 10 Mr. Pierce had made about Ms. McGuire?
- 11 A. What complaints?
- 12 Q. Do you not know of any complaints that

 13 Mr. Pierce made about Ms. McGuire in the way

 14 that she was treating him when he came over from

 15 SCI Albion in the first 30 to 60 days?
 - A. I can't really. I don't know. I'd have to look at the file on McGuire.
 - Q. Isn't it true that you knew or came to know in early '01 that Mr. Pierce was in and out of Christine Massung's office repeatedly in February of '01 concerning what he perceived as problems that he was getting from Ms. McGuire who was a union rep at the time?
 - A. I believe that Yvonne McGuire and Mr. Pierce had personality conflicts.

1	Q.	That wasn't my question.
2		My question was did you know about
3		the fact that Mr. Pierce was in and out of
4		Christine Massung's office in February of '01
5		concerning Ms. McGuire?
6	Α.	Offhand I don't recall that. I just recall my
7		dealings with Mr. Pierce and Ms. McGuire.
8	Q.	Do you know of any discipline that Ms. McGuire
9]	has received, any written discipline that
. 0		Ms. McGuire has received since January of '01?
. 1	Α.	Not without looking at a file.
. 2	Q.	Do you know whether she was ever suspended since
. 3		January of '01 to the present?
4	Α.	No, she has not been suspended.
ւ 5	Q.	Do you know whether she's ever been the subject

18 A. Yes.

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Q. What were some of the issues that were being investigated about Ms. McGuire?

of any kind of an investigation since January of

21 A. Since '01?

'01?

- Q. Since January of '01. Since Brian came over to you from SCI Albion.
- A. I believe that there was some comments that she had made to another staff member and there was

an investigation on that. 1 2 Q. What were the comments alleged to have been? She had made a comment to a staff member that 3 Α. was calling off frequently that she didn't 4 5 appreciate -- and I don't remember -- I can't quote her, but that she didn't appreciate all 6 7 the calls because the other LPN's were getting stuck doing her overtime. 8 9 Anything else? Ο. There's an investigation now about several staff 10 Α. 11 members that had made inappropriate comments to another staff member. 12 Was she one of them? 13 Q. 14 She's one of them, yes. 15 Okay. Q. 16 Α. And that investigation is ongoing. Were any of those other individuals being 17 Q. 18 investigated female? 19 Can you clarify? Α. 20 Is Yvonne McGuire female? Q. 21 Α. Yes. Are any of the other individuals under 22 Ο. 23 investigation currently now, along with

Ms. McGuire, female?

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25 | A.

Yes.

- to mention her name, Nancy, but this inmate is a female; correct?
 - A. Correct.

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- Q. And the fact that this is addressed to you and ended up in Mr. Pierce's personnel file, would that indicate that this would have come across your desk or to your attention at some point in time after it was directed to you?
- 9 | A. Yes.
- 10 Q. Do you have an independent recollection of interviewing Yvonne McGuire with regards to this?
 - A. To be honest, I don't. Either way I don't remember.
 - Q. Do you have an independent recollection after reading it, as you sit here today, of having done anything after you got this?
- 18 A. With this one, no, not in particular. I'd like
 19 to state that when these did come across my desk
 20 I looked into all of them.
 - Q. But you don't have any independent recollection as you sit here today --
- 23 A. No.
- 24 Q. -- as to what, if anything, you did?
- 25 | A. No, I do not.

(The witness reviewed the document.) 1 2 I'm reading it. 3 BY MR. SANDERS: 4 5 Ο. Take your time. It should not be on here. 6 Α. But there's no mention of his name not 7 Q. withstanding; is that correct? 8 9 Α. Correct. And your recollection as you sit here today is 10 that you did not issue any type of verbal 11 warning or any kind of reprimand to 12 Ms. Pietrzak, only to Mr. Pierce? 13 14 No, Ms. Pietrzak received a written reprimand as well. 15 Have you issued anymore than that one to her in 16 17 the time that she's worked for you? 18 Yes. Α. 19 And is she still employed with you? Q. 20 Α. No, she's not. 21 I think you mentioned earlier she's since left 22 for disability? 23 Correct. Α. 24 Did you ever recommend prior to her leaving for 25

disability, did you ever recommend to anyone

senior to you at SCI Cambridge, or at Camp Hill, or any other location in the system for the Department of Corrections any stiffer penalty for Ms. Pietrzak other than a written warning or written reprimand? In other words, a termination or a suspension?

- A. Ms. Pietrzak was facing a PDC prior to her going on disability.
- Q. Was the PDC something that involved conduct at SCI Cambridge Springs?
 - A. Yes, it does. The particulars I really don't remember at this point in time, but I'd have to go back and look at her file. But, yes, I remember that it was a PDC that she was facing prior to her resigning.
 - Q. But she wasn't -- again this is the one we talked about earlier. She did not get terminated.
- A. No. She had been off for several months I believe on disability prior to retiring.
 - Q. Do you know any other female professionals, RN's or LPN's, facing termination that left on a disability other than her since you've been at SCI Cambridge Springs?
- 25 A. No, I don't think so.

- Mrs. Purvis? Is that what you said,
 Mrs. Purvis?
 - A. Who's Mrs. Purvis? No, I don't know --
- 4 Q. Who was it? Mrs. Verga you said?
 - A. Mrs. Verga. There's a gentleman by the name of Mr. Verga who is an RN II at Albion. His wife worked at our institution for a short period of time.
- 9 Q. Okay. Do you remember her first name?
- 10 | A. No, I don't.

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- 11 Q. So the individuals on this report of incident on
 12 June 15 of '00 that you are familiar with would
 13 be Ms. Weyers, Mr. Pierce, Mr. Verga; correct?
 - A. Correct. Again I may have met other ones but I wouldn't recognize their names when I did their management review.
- 17 Q. Is it possible you did a management review for Michael White?
- A. No. A management review is when you sit down in a room and you review all of the medical charts from that area. Each staff member would have to go to another institution to do a management review.
- 24 Q. So you've gone to Albion to do that?
- 25 A. I went once, yes.

They had had some problems. 1 Α. Can you think of the name of any male union 2 Q. stewards at that time? April of 2002. 3 I know. I'm thinking. 4 Α. 5 Oh, I'm sorry. Ο. I know that the head was a gentleman by the name 6 Α. 7 of Mr. Zolie. Is it your testimony that Mr. Zolie was on site 8 0. 9 in Cambridge Springs in April of '02? No. You're asking about a union rep and 10 Α. 11 Mr. Zolie was the head for this region. For our 12 region. 13 Q. All right. But he was not on site as Sharalee 14 was? 15 Α. No. 16 Q. Sharalee actually worked at SCI Cambridge 17 Springs at the time, didn't she? 18 Yes. Α. 19 Just like Yvonne McGuire did. 20 Yes. Α. 21 All right. Q. 22 I'd like to state that it would be AFSCME 23 representatives. They represented the clerk 24 typists and also the LPN's.

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REPORTERS 724-444-

COURT PA

NMR Gibsonia,

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	à	Is it your claim that you investigated this
7		matter as well?
<u>—</u> რ	A	Yes, I did.
4	· Ø	Did anybody help you with the investigation?
ហ	A	No, I don't believe so.
9	à	What was the result of your investigation? Did
7		any of the individuals on this list receive any
8		discipline?
o o	A	Again I can't recall. I'd need to check my
10		records.
11	ø	But we know which ones are still employed and
12		which ones are not; correct?
13		Yes.
1.4	à	You've already told me about all of them.
15	K	Yes.
16	ò	All right.
17		Do you remember my client being
18		terminated on or about May 13 of '02?
19	A	Yes.
20		1 1
21		(Deposition Exhibit No. 16 marked for
22		identification.)
23		1 1 1
2 4		(The witness reviewed the document.)
25		, , , , , , , , , , , , , , , , , , ,

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1	the one that was initiated against Mr. Pierce?
2	A. That would be superintendent Brooks. Or the
3	superintendent determines whether or not a PDC
4	will occur.
5	Q. Are you aware of the fact that Mr. Pierce sought
6	to get unemployment compensation?
7	A. Yes.
8	Q. Do you know the outcome of that proceeding?
9	A. It was denied.
10	Q. Do you know if the same allegations or defenses
11	to him obtaining unemployment were used in that
12	proceeding as it served as the basis for his
13	termination from the Department of Corrections?
14	MR. SANDERS: I'm going to object on
15	the grounds of speculation.
16	BY MR. EDDY:
17	Q. Well, you've seen Exhibit 18 I believe it is.
18	MR. EDDY: Off the record.
19	
20	(There was a discussion off the record.)
21	
22	BY MR. EDDY:
23	Q. You were shown a copy of Exhibit 18.
24	
25	(The witness reviewed the document.)

1 2 Yes. Α. 3 BY MR. EDDY: And that is essentially the letter that notifies 4 Mr. Pierce that he's been terminated; is that 5 right? 6 7 Α. Yes. Are you familiar with the charges that have been 8 Ο. levied against Mr. Pierce in that termination? 9 10 Α. Yes. 11 In fact, you testified you were at that hearing. Q. The PDC hearing. 12 Yes. 13 Α. Do you know whether or not those same criteria 14 that were used for his termination were also 15 16 used in connection with his unemployment 17 hearing? Yes. When Mr. Pierce had gone to the 18 Α. 19 unemployment hearing, I was also there as a witness, and at that time Mr. Pierce had called 20 21 multiple nurses to that hearing and each one of 22 them --23 The hearing examiner had asked if 24 they had done any of these things, and each one 25 of them had said at one time or another they had done it, and each one had been disciplined for it, and that each one of them had stopped doing it.

And the basis of the unemployment hearing, I believe, the denial was -- and this is my thoughts -- was that when she questioned Brian Pierce if he had done these things, and he had said, yes, he had. When she had asked if he had been disciplined, he said, yes, he had. And when she asked him if he had stopped doing this, he said, no, he had not because he felt that he was in the right so he did not stop the practice.

- Q. So if I understand what you're saying correctly, he produced witnesses at his unemployment hearing that he felt had done the same things that he did for which he was terminated and these individuals were not terminated?
- A. That's correct.

- Q. But Mr. Pierce stated that he either failed or refused to correct those procedures whereas the other witnesses said that they did comply with the corrective behavior; is that what you're saying?
- 25 A. That's correct.

You said that you agreed with the decision to Q. 1 terminate Mr. Pierce as contained in Exhibit 18. 2 3 (The witness nods head up and down.) I'll just ask you why do you agree with that Ο. decision? 5 I agree with the decision because even with 6 Α. 7 everything that was going on, Mr. Pierce was showing no indication that he was going to 8 change his behavior. 9 When you stated I believe that you investigated 10 Q. 11 all of the various commonwealth employee witness statements that were submitted by Mr. Pierce 12 that we've seen I think as Exhibits 12, 13 -- or 13 14 13, 14, 15, 16 and 17, you said that you 15 investigated all of those statements that he made? 16 17 Each person was called in and interviewed. 18 Were you able to corroborate any of his 19 allegations in those statements? 20 Α. I believe that most of the statements that he 21 had claimed were not founded. 22 Did you find any to have any merit? Q. 23 Yes, I do believe that there was some that had Α. admitted to some of those accusations. 24

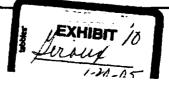
Do you remember what you did as to those

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Q.

EQUAL EMPLY, MENT OPPORTUNITY DISCRIMINATION JUMPLAINT				
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4, DATE OF THE MLEGED DISCRIMINATORY PRACTICE	E. THE DISCRIMINATION O	CCUARED IN C	ONNECTION WITH	
10-15-01 S. BASIS OF THE ALLEGED DECREMENTORY PRACTICE	D INTERMEN		O DESCRUINARY ACTION	
D MCE DAGE	PROMOTION		COMPENSATION TRAINING OPPORTUNITY	
O six O cossaury	LAYOFF		A OTHER ISPECIAN ,	
O MATICALL GRIGH RETALLATION O AMCESTRY SO OTHER SPECIFY	TRANSFER		NA	
O RELIGION Hestile Working Environment				
1. THE FACTS OF THE ALLEGED CISCHMINATORY EVPLOYMENT PRACTICS ARE:				
- See Attachel -				
S. Pietrick and I have had numerous confuntations in the last 9 months in				
regards to my wanting to change a few policies and procedures in order to help				
make the department more efficient. She has also stated her distates				
for my ability to take the initiatore without requiring her cuidence or				
direction As a result of those confortations, this incident occured.				
During the 15 oct incident, other stuff members took the initiative to				
begin mobilizing the department for the drill and they were not				
beretal is but of their noore or immotes for their forethought. I				
WAS. I later found out that PA STyborcki witnessed the initial outburst				
by Ms. Petersk, and 4hot Innote Stranbridge Of 8376 was in her wheelchair				
in the hall outside The door when Ms. Retuzak first addressed me.				
(OVER)				

Enclosure 2 to Management Directive 410.10 Amended



The following statement is being given by me freely and without coercion for official Commonwealth business and will be considered for all purposes, including actions under the Statues of this Commonwealth, just as though it had been sworn or affirmed before a court of law or formal arbitration panel.

On 10-15-61 I entered the institution at about 1:50 pm. I saw in mates going boack to their horsing units and I was fold that there was a drill soing on. As I got to Wilding 3, PA Styburski met me on the walk and fold me what the drillgoing on. I entered bilding 3 and into medical at about 1:55 pm and all the staff were talking about the drill. I talked briefly with V. M' Guire LPW about a few issues and then went into the pharmacy to count with P. Smith R After we finished counting, Y.M. Guine and I exited medical and went on the walk in front of building 3. SGT. Decoursey was coming down the walk from building 1. Yme Rune Asked if she know what was going on Sate Occasions sai she did and that she had " found out by Accident." I followed SET. According int boilding 3 and I asked her if she know how long the drill was going to last. She bid me that she did not know I asked her if she know if we were going to have to pass medicutions on the horsing units. She Said she didn't know I said fluid as would need to know as soon as possible because it might take an hour to prepare to do that. She then sudvoid Lt. wodel + he called her back on the phone. She related the information to him and she told me that he would have to find out.

Typist's Signature Date

Case 1:03-cv-00173-SJM

The following statement is being given by me freely and without coercion for official Commonwealth business and will be considered for all purposes, including actions under the Statues of this Commonwealth, just as though it had been sworn or affirmed before a court of law or formal arbitration panel.

I then went in to medical and was talking to M. kelly EN and

T. Zuber RN. A few minutes passed and the building 3 office came
to us and told us that it waded told him to tell us that we were
to prepare to pass meds on the housing units. I went and told S. Pictoral
cloud what it wadel had said. She then began to organize the skeff
and had S. Cooper RN tay to get a list of in makes by housing unit. M. kel

T. Zuber and I want into the medication room and began to prepare to
the medication pass. I asked S. Retreat RN what meds needed to be pass
only life-sustaining meds needed to so. She then called C. Massing rive
for confirmation and we were directed to sive only life sustaining
mels and Antibiotics. I passed this info mation along to M. kelly
T. Zuber and me completed our assigned tasks.

10-16-01	Bun Pun
Date	Signature
Date	Typist's Signature

The following statement is being given by me freely and without coercion for official Commonwealth business and will be considered for all purposes, including actions under the Statues of this Commonwealth, just as though it had been sworn or affirmed before a court of law or formal arbitration panel.

Not long after we had prepared to pass medications on the units, SET. Decars Entered mechant and went into The room where & Pietrenk was sitting. A few Minutes later SET. Decarry come at of the rown with S. Actreak right behind he- At that time, in the presence of SKT. Decoursey, M. Kelly, and T. Zuber, . S. Peterak used a loud, hostile and demaning tone and language to belittle me in front of my peers. She then went to C. Massing's office. T. Whe come to me and asked "What was that all about?" About 5 minutes but S. Pictozek reentered the nurse's station and began to harrass me again in the presence of staff. AT that time I told her that if she had appollen with me, she needed to address it to me in private or in C. Massing's office and that I did not appreciate The demening way I had been treated earlie us well as now. She agreed to go to C. Massong's office, but C. Mas Arrived in the nurse's station. S. Pietreak then took an agressive posts And began to stare me down " with a hateful, angry stare. She the began to belittle me in the presence of my employer. The both began to exchange words in a lad manner.

10-16-01	15m Pun
Date	Signature
Date	a John a minimum

The following statement is being given by me freely and without coercion for official Commonwealth outliness and will be considered for all purposes, including actions under the Statues of this Commonwealth, just as though it had been sworn or affirmed before a court of law or formal arbitration panel.

C. Massing shood by and observed, but did not intervene. At one fint she malked away from us and entered S. Cooper's iffice. S. Pretrzek and I continued to exchange words, and as I was trying to explain what had happened to her, she began yelling "stip pointing at me."
"I consider that a threat!" At which point C. Massing came out and S. Pretrzek asked be "Did you see that? Ik threatened me!" C. Massing Covered her eyes and stated" I didn't see anything. I have a land breadache. "At which point S. Pretrzek began verbally affacking me and my character. C. Massing then said we needed to go to her office. We agreed, and began to so to her office. S. Pretrzek Stopped and said she was going to bring someone in with her. I so that I wanted union representation as well, and since no one was on site at that time, we would have to writtened do it another day. At that, S. Pretrzek became angry and went in to medical.

10-16-01	Im Per
Date	Signature
Date	Typist's Signature

1

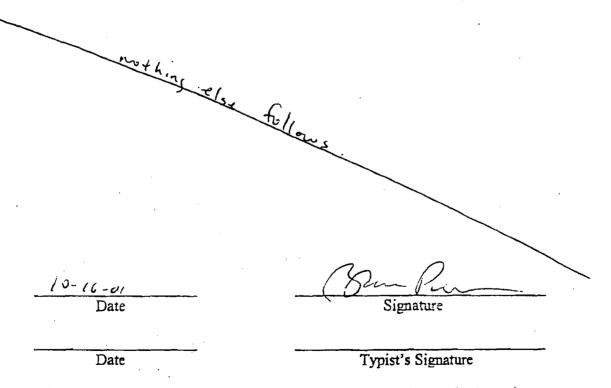
The following statement is being given by me freely and without coercion for official Commonwealth business and will be considered for all purposes, including actions under the Statues of this Commonwealth, just as though it had been sworn or affirmed before a court of law or formal arbitration panel.

I was so shaken by the confortution, that I had fear of retaliation and thossibility of having in subordination charges put on me by S. Pietazak 11 I stuyed, that I went to CoMassing and told her that I was leaving. S. Cooper was in the room for the conversation and was a witness to the follo I told C. Massing that "I am afraid of retaliation by Sandy, and that I can nort in this histile environment." I told her that I was very upset and spressed out by what happened and that I did not appreciate being treater like that by S. Pietrzak, especially in font of my peers. C. Massing aske when I would like to have the meeting to resolve the issues, and I said tomorrow (10-16-01). She told me that she was not coins to be here, and I said, Then how about wednesday?" She told me that she was going to be out all week and she wanted it to be handled by the Deputy. I told h that I would like to keep it in house" if possible and try to resolve it i first. C. Massing then said " well who's going to make the decision? who grang to handle this? I simply said I have "you." She then told me the She will be back on morday and we could do . + 4 hing

Typist's Signature Date

The following statement is being given by me freely and without coercion for official Commonwealth business and will be considered for all purposes, including actions under the Statues of this Commonwealth, just as though it had been sworn or affirmed before a court of law or formal arbitration panel.

I said that that would be fine. C. Massing told me that she was not size I could take sick time for this, but that she would call personnel to charfy. S. Cooper told me that I should count off with someone before I left, so I did. While I Tuber and I were counting, C Massin told me that I could go, but I needed a doctor's excuse to justify my lewing. I told her that it was no problem and them thanked her. Shatly after that I left the inshthon at 4:45 pm.



The following statement is being given by me freely and without coercion for official Commonwealth business and will be considered for all purposes, including actions under the Statues of this Commonwealth, just as though it had been sworn or affirmed before a court of law or formal arbitration panel.

On 10-16-01 I come into work upon entering medical, I was told by P. Smith RN and A. Chapman RN that the hight shift morse L. Mallard R had told them in shift report that S. Pietrzek and I had an argument and that I walked off property. They told me that I was "bad mouthed" by S. Pietrzek on shift change with L. Mallard.

Later on, S. Cooper called me into her office and fold me that S. Betazak was telling as many staff as she would "a very sleated" side of the picture and that she was making it look like she shid nothing wrong. Also, S. Cooper told me that C. Massung had come into her office this morning and was saying terrible things about me and that I was a "cold calculating individuand it was part of his Asenda." S. Cooper also observed C. Massung telking several of the Staff on 10-15-01 and 10-16-01 about this incident. I feel this only firther encourages a hishle working environment and that hy making slanderar comments about me to offer the my poers is wrong!

NOTE: This form is to be completed and signed by an employe who is a witness to an incident involving the employes of the Commonwealth. If the text is typed by someone other than the employe giving the statement, the party typing the statement must sign and date the document.

Date

Typist's Signature

COMMONVEALTH OF PENNSYLVANIA
Department of Corrections
SCI-Cambridge Springs
(814) 398-5400
April 12, 2002

SUBJECT: Brian Pierce LPN

Fact finding 4/11/02 at 1415

TO: Nancy Wirth

Human Resource Director

FROM: Nancy A Giroux

Correctional Health
Care Administrator



It was brought to my attention by fellow co-workers that over the last two weeks Mr. Pierce is not following medication room procedures and his behavior has been inappropriate. Y. McGuire, C. Heffern, T. Zuber, S. Cooper, and S. Pietrzak have provided statements (attached) to substantiate these complaints.

Overall synopses of these complaints are:

- . Pre-pouring medication prior to the med line starting
- Leaving the pre-poured medications unattended prior to the med line
 - Not crushing psychiatric medications nor placing them in water
 - Borrowing medication from other inmates instead of utilizing stock meds
 - · Not signing out stock medications
 - · Not checking inmate ID's during the med line
 - Not following procedures regarding dispensing of medication in the RHU
 - Inappropriate conversations with inmates regarding DOC programming
 - · And informing inmates that he is "in a bit of trouble" and leaving
 - Providing misinformation to LT regarding med room procedures and supervisors expectations.

During the fact finding with Mr. Pierce we covered many areas of concern. Mr. Pierce was asked if he is aware of the medication room procedures and where it is located. He stated he was and that there was a copy in the med room and one in his mailbox. Mr. Pierce stated that he "generally checks ID's and "always checks the MAR's. He also stated that he is crushing at least 75-80% of all psych meds and places them in water. He states that he is not pre-pouring medications and that he does not leave the medication unattended. He states that he is utilizing the stock medication and signs out the stock meds. He admits to borrowing medications from other inmates on a regular basis and then clarifies that he borrows only when not available in stock and it's a medication the inmate requires. He also admits to placing medication (Benadryl and CTM) in individual cups but clarifies that it is from the stock bottles not from the blister

Brian Pierce Fact Finding 4/11/02 Page two Continued

packs. Mr. Pierce states that his counterparts all do the same thing, he does not do it any more frequently then they do. Mr. Pierce was questioned about the RHU practice of how medication is dispensed to the inmates in the RHU and in particular the incident that occurred on 4/7/02. Mr. Pierce stated that he did deliver the medication to the RHU in cups in his pocket and he did not have the MAR or the RHU bag. He stated that he now knows what is expected of him after our conversation on Monday (4/8/02) but states he must have been confused about this issue prior to that. When I questioned him about the conversation we had on 3/11/02 regarding this issue, he stated he didn't remember having a conversation with me and than later stated that we talked about so many different things that he couldn't remember what we talked about. Mr. Pierce verbalized throughout the conversation that he understood the procedures regarding checking inmates ID's, crushing psych meds, borrowing medications and the usage of stock medications. Again Mr. Pierce stated that all his counterparts do the same thing that he does and if "they were honest they would tell you". Requested that Mr. Pierce provide me with the names of staff members that were violating med room procedures and he stated that he would not do that. At the end of the conversation he stated that he would provide me with the names if the nurses are not honest and tell me myself. "Call me in after you speak with them".

I also discussed with Mr. Pierce the issue of count being off on 4/1/02. The narcotic sheet read that we had 28 tablets of xanax 1mg and the blister pack contained 29. When count had been completed on the 2-10 shift the discrepancy was not picked up and was not discovered until the 600-hour count. Refer to the EO's attached. Mr. Pierce's explanation was that the count was correct at 2200 hrs and that he distinctly remembers giving inmate Houck two tablets. Therefore "someone disposed of the whole card of 28 xanax. I repeated his accusations and he stated count was correct at 2200 and was off at 600. Winkler didn't state that the count was incorrect at 2200 hrs so it wasn't. Again I asked are you insinuating that someone, meaning Winkler took a whole card of a narcotic? Pierce stated, it was a full card and I punched out two tablets that left 28 tablets. At 600 hrs the card had 29 tablets.

We then discussed his conversation with the inmates regarding the DOC programs and in particular the RSAT program. Mr. Pierce states that he did talk to the inmates about the programming. The conversation started out about the inmates questioning him about leaving and that he acknowledges that he is leaving within the next year or two. That he is looking for a counseling job within the DOC because he believes that he can provide the inmates with the skills needed to succeed out in the world. Mr. Pierce states that the inmates expressed sorrow over his leaving and that they told him he is the only one who cares. He talked about being able to better help them, equip them, and provide them with the tools to succeed. The inmates were commenting about programming and he stated, "It was a joke". When questioned about this Mr. Pierce stated that the inmates tell him it's a joke. I questioned Mr. Pierce about the differences between one inmate talking to another and a staff member talking to an inmate. Don't you think that

Brian Pierce Fact finding 4/11/02
Page three
Continued

the inmates will place more weight on a statement made by a staff member? Pierce's response -"Truth is truth". "I was only telling them what the inmates have been telling me".

The majority of the nurses who dispensed medication in the med room were called into this office one at a time and informed that it was an official investigation and a breach in the code of ethics if they discussed this with other staff members. They were all asked the same questions pertaining to medication room policy and procedures and their interpretation of the policies. The last questioned asked was if they were aware of any nurse violating these procedures, if so who and how? All response from the 2-10 shift were that Mr. Pierce was violating multiple procedures in the med room, on 6-2 Ms McGuire stated Pierce and Ms Coopers statement collaborates this premise also.

Conclusion

There is a medication room procedure book housed in the med room, multiple memos posted in the med room, signs posted outside the med room windows and all staff questioned have been able to voice what the departments and all staff expectations regarding procedures in the med room. Mr. Pierce was able to verbalize when questioned the proper procedures required regarding inmates ID's, psych meds, stock meds, borrowing medications and pre-pouring medications. He states he was confused on the issue of the delivery of RHU medications and stated that he doesn't agree with our interpretation of pre-pouring but was able to state clearly what our expectations were.

The collections of statements from other staff members who have been working in the med room with Mr. Pierce clearly show that Mr. Pierce is not following medication room procedures. He has consistently over the last several weeks not checked the inmates ID's, is not crushing the psych medications nor placing them in water. He is not utilizing the stock supply of medications and instead is borrowing medications from other inmate's blister packs. This is creating problems when we attempt to re-order the inmates medications that have been borrowed due to it being an early refill. He is taking blister pack cards of stock medications and punching them out into cups i.e. CTM and Benadryl 50mg both, which are prescription medications. He is not signing the medications out and the stock inventory sheet is disappearing. It has been witnessed that he is pre-pouring inmates medications prior to the med line opening and then dispensing them. It has also been witnessed that he pre-poured the medications and then left the area, that goes against his theory or definition of pre-pouring medications. He was spoken to on 3/11/02 when he had dispensed medications to the RHU inappropriately and the proper procedures were reviewed with him. Therefore I do not believe that Mr. Pierce was "confused" regarding our expectations and procedures for the delivery of RHU medications. Several witnesses have written statements regarding Mr. Pierce's conversations with the inmates and have found them to be inappropriate.

Brian Pierce Fact Finding 4/11/02
Page four
Continued

Ms Heffern witnessed the conversation between Mr. Pierce and the inmates out in the hallway on 4/5/02 and Mr. Pierce recounted his conversation with the inmates to Mr. Zuber, Ms Pietrzak and Ms Heffern later that evening. The statements demonstrate that Mr. Pierce was promoting himself and indicating that the programs and the RSAT program were a joke and designed to set the inmates up for failure.

Mr. Pierce avoided all responsibility regarding the incident with the count being incorrect. All information points to the fact that that the count was incorrect at 2200 hrs and that Mr. Pierce dispensed one tablet instead of two as ordered. Ms Winkler should have noted the error during count at 2200 hrs but did not for whatever reason. Ms Winkler states that count was a little confusing because Mr. Pierce was signing out some of his narcotics that he dispensed that evening during count. Mr. Pierce indicates that he believes that another staff member took a whole card of the xanax and disposed of it, which in his opinion accounts for the narcotic count being off. His scenario doesn't make any sense and the allegations he is making are extremely serious. I researched the narcotic issue personally and had Ms Cooper RNII research the issue independently to confirm my findings. Our conclusion is that the xanax is accounted for and that there are no medications missing, never mind a whole card. See the attached copies of the narcotic sheets and the copy of the blister pack which states the date the medication was filled by the pharmacy and how many tablets they sent. Also attached is Ms Cooper's statement confirming these findings.

These allegations constitute a violation of the code of ethics, specifically Section B. Specific Rules and Regulations-Department of Corrections; section 9. Lawful orders by a supervisor to a subordinate must be executed promptly and faithfully by the subordinate even though the employee may question the wisdom or such an order. Section 10; Employees are expected to treat their peers, supervisors and the general public with respect and conduct themselves properly and professional at all times; unacceptable conduct or insolence will not be tolerated. Section 14; employees will promptly report to their supervisor any information which comes to their attention and indicates violation of the law, rules, and/or regulations of the Department of Corrections by either an employee or an inmate, and will maintain reasonable familiarity with the provisions of this directives. And section 29; All employees shall comply and cooperate with internal investigations conducted under the authority of the Department of Corrections, and respond to questions completely and truthfully. Procedures in cases that may result in criminal prosecution will include those rights according to all citizens of the commonwealth.

NG/ng

CC Superintendent Brooks
Deputy Good
Deputy Wilkes

COMMONWEALTH PENNSYLVANIA **Department of Corrections** SCI-Cambridge Springs (814) 398-5400 November 20, 2001

SUBJECT: Written Reprimand

TO:

FROM:

Nancy A Giroux **Nursing Supervisor**

Mr. Pierce, it has been determined that you will receive a written reprimand for the incident that occurred on 10/15/01 involving Ms. Pietrzak.

Specifically relating to the altercation between Ms Pietrzak and yourself that occurred on 10/15/01 on the 2-10 shift during an emergency drill.

This is a violation of the Department of Corrections Code of Ethics, Section B, Number 10 which states 'Employees are expected to treat their peers, supervisors and the general public with respect and conduct themselves properly and professionally at all times; unacceptable conduct or insolence will not be tolerated.'

As we have discussed, in situations like this you should remove yourself from the general medical area to continue the conversation. It should not be conducted in a public area that can be overheard by other staff and inmates that can cause embarrassment to both parties involved. If the issue cannot be resolved between the two parties then the conversation should be terminated at that time by mutual agreement and continued/resolved with a mediator (supervisor) at a future time and date. You antagonized the situation by speaking in a loud tone, pointing your finger at Ms Pietrzak repeatedly when she requested you not to and making derogatory statements about her character. This situation was not handled in a professional manner as is expected of DOC employees.

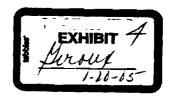
You are advised that continuation of such unacceptable actions will result in further disciplinary action, which may include suspension and/or termination. This written reprimand will be placed in your Personnel file and can remain there for up to one year.

NG/ng

CC N Wirth Human Resource Director

C. Massung CHCA

Supervisor's File



FREY A. BEARD, Ph. D. SECRETARY
TIMENT OF CORRECTIONS

WILLIAM J. LOVE DEPUTY SECRETARY FOR SPECIALIZED FACILITIES & PROGRAMS



451 Fullerton Avenue Cambridge Springs, PA 16403-1229 Telephone 814-398-5400 April 17, 2002 MARILYN S. BROOKS SUPERINTENDENT

Address All Replies
To Superintendent



Brian Pierce 313 Main Street Cambridge Springs, PA 16403

Dear Mr. Pierce:

Employe#456590

This is to advise you that a Pre-Disciplinary Conference has been scheduled for Tuesday, April 23, 2002 at 2:00 pm in the Conference Room of Building One (Administration Building). At the Pre-Disciplinary Conference, you will be offered the opportunity to respond to reports of incidents that may have occurred while you were a Licensed Practical Nurse, Permanent Civil Service Status, with the Department of Corrections at the State Correctional Institution at Cambridge Springs. Allegations involve violation of the following sections of the Department of Corrections Code of Ethics:

Section A, General Responsibility of Department of Corrections Employees: Consistent with the responsibility of all correctional employes in the Commonwealth of Pennsylvania to perform their duties with integrity and impartiality and to avoid situations whereby bias, prejudice, or personal gain could influence official decisions, the following code is being promulgated.

Section B, #1: Specific Rules and Regulations – Department of Corrections: Each employe in the correctional system is expected to subscribe to the principle that something positive can be done for each inmate. This principle is to be applied without exception.

This involves an intelligent, humane and impartial treatment of inmates. Profanity directed to inmates, or vengeful, brutal, or discriminatory treatment of inmates will not be tolerated. Corporal punishment shall not be utilized under any circumstances.

Section B, #9: Lawful orders by a supervisor to a subordinate must be executed promptly and faithfully by the subordinate even though the employe may question the window of such order. The privilege of formally appealing the order may be done at a later date through either the supervisory command structure, civil service appeal, or the grievance machinery.

Section B, #10: Employes are expected to treat their peers, supervisors and the general public with respect and conduct themselves properly and professionally at all times; unacceptable conduct or insolence will not be tolerated.

PIERCE, BRIAN PAGE 2

Section B, #14: Employes will promptly report to their supervisor any information which comes to their attention and indicates violation of the law, rules, and/or regulations of the Department of Corrections by either an employe or an inmate, and will maintain reasonable familiarity with the provisions of such directives.

Section B, #29: All employes shall comply and cooperate with internal investigations conducted under the authority of the Department of Corrections, and respond to questions completely and truthfully. Procedure in cases that may result in criminal prosecution will include those rights accorded to all citizens of the Commonwealth.

Alleged incidents include reports of the following:

On March 9, 2002, medication line was completed early and you may have pre-poured certain medications in violation of policy. After being questioned by an officer on duty about whether you pre-poured medications on March 9, 2002, you decided to change procedure and called one unit at a time on March 10, 2002, thereby significantly delaying completion of medication line. On March 20, 2002, on the 0600 to 1400 shift, after the medication line was closed, three inmates arrived for their medication. The RN on duty (team leader) contacted the unit officer and was advised that the inmates were late returning from meal and reporting to medication line through no fault of their own. You nonetheless refused to open the medication window, even though one of the inmates was on an anti-epileptic life-sustaining medication, stating that "they came late, fault doesn't matter". You were ordered by the team leader three times to open the window before complying.

On April 1, 2002, there was an error in count on a card of Xanax. The narcotic sheet you completed and signed indicated that we had 28 tablets of Xanax 1mg and the blister pack contained 29. You signed that you had given the inmate 2 tablets, yet the card for that inmate was found to still have 29 tablets remaining. When questioned, you stated that someone disposed of the card with 28 tablets, and that when you left the card was correct.

On April 1, 2002 you ordered a 60-day supply of medication for an HIV inmate being paroled to a Community Corrections Center. This was done without required pre-release notification from the Records Department. It was reported that you stated to the inmate that you would "hook her up". Policy specifically indicates that a 30 day supply is issued to inmates being paroled. In addition, HIV medications are only ordered for 30 days regardless of their destination. You were also observed passing a note you had written to this inmate through the medication window, while speaking in the street to the context.

On April 5, 2002, it is reported that you went to the RHU without the medication pag and the Medication Administration Record. You also admitted when questioned that you pulled the inmates' names and PRN medications from the RHU book, checked to see who was due medications, and pre-poured medications into cups that you took in your pocket to the RHU. After the shift commander questioned you about the procedures for delivering medications to the RHU, you called the RHU officer and became confrontational and questioned her about this issue. The correct procedures for

PIERCE, BRIAN

PAGE 3

delivering medications to the RHU were specifically addressed with you on March 11, 2002.

On April 9, 2002, it was reported that you had a conversation in the hallway with inmates about the RSAT program and DOC programming in general, stating that the programming "was a joke". It was further reported that you made negative comments about the RSAT program to the inmates.

Several staff statements report that you have pre-poured medications prior to the start of medication line, and that you have walked away and left the medications unattended during the last three week period. You admitted to borrowing medications from other inmates' blister cards rather than using stock medication. It was also reported that you are not crushing psychiatric medications as required. This is in direct violation of our procedures as well as DOC policy 13.4.1.

You have the right to have Union Representation during this conference if you so choose. It will be your responsibility to arrange such representation with an AFSCME A-1 designated representative.

Based upon the information established during the conference appropriate action shall be initiated up to and including possible dismissal. If you elect not to attend this conference, a decision will be made based upon the facts at hand.

Sincerely,

Marilyn S. Brook Superintendent

For

Jeffrey A. Beard, Ph.D.

Secretary

MSB/NW

CC: Deputy Wilkes
Deputy Good
BHR/Labor Relations Division
Sharalee Raun, AFSCME

COMMONWEALTH OF PENNSYLVANIA
Department of Corrections
SCI-Cambridge Springs
(814) 398-5400
April 17, 2002

This is to acknowledge that I, Brian Pierce, have received a copy of my PDC Notification dated 4/17/02.

Employee Signature

Date

BEARD, Ph. D ECRETARY DEPARTMENT OF CORRECTIONS

WILLIAM J. LOVE

.MONWEALTH STATE CORE Cambridge Springs, PA 16403-1223 Telephone 814-398-5400

MARILYN S. BROOKS

November 15, 2001

Brian Pierce 313 S. Main Street Cambridge Springs, Pa 16403

Re: Complainant: Brian Pierce (2001 – 04)

State Correctional Institute SCI Cambridge Springs

Dear Mr. Pierce:

This letter is in response to your complaint received in this office on October 26. 2001.

Federal and state laws and/or Department of Corrections policy prohibit employment discrimination because of a person's race, color, religious creed, national origin, ancestry, sex, age of 40 years or over, non-job-related disability; AIDS; HIV status; because of opposing discrimination or participating in the discrimination complaint process; and because of citizenship status, sexual orientation or union activity.

A charge of discrimination is an allegation by an aggrieved person that (s)he was harmed because of one or more of these prohibited bases. Based on the information you have provided, you have not alleged this to be the case, and have, therefore, not made a charge of unlawful discrimination. Your case is therefore closed.

You may, however, still have a personnel issue that can be addressed by your supervisor, management, or Human Resources staff.

If at any time you feel you have been discriminated against (harmed because of a protected class), please feel free to contact us. Please keep in mind, however, that complaints must be filed with the Department of Corrections within 90 days of the date of the alleged act of discrimination.

You have a right to appeal these findings to the Director of the Office of Equal Employment Opportunity, PA Department of Corrections, PO Box 598, Camp Hill, PA 17001-0598 if not satisfied with findings for further review. For an appeal to be considered timely, it must be received by the Office of Equal Employment Opportunity. or postmarked within 20 calendar days from the date of the written notification resulting from the investigation of the complaint. I am also attaching a copy off the "Avenues of Recourse for Discrimination Complaints."

The State Employee's Assistance experiencing personal problemontact SEAP at 1-800-692-7459.

you ay

Cc: file certifical rural (1/16/6)

Avenues of Recourse for Discrimination Complaints

PA Department of Corrections (D.O.C.)
Office of Equal Employment Opportunity and
Contract Compliance
Within 90 calendar days of alleged occurrence
PO Box 598, 2520 Lisburn Road
Camp Hill, PA 17001-0598
Phone: (717) 975-4934
Fax: (717) 731-7115

State Civil Service Commission
Within 20 calendar days of alleged occurrence

Central Region PO BOX 505 Harrisburg, PA 17120 (717) 783-3058

Eastern Region State Office Building, Room 101 1400 Spring Garden Street Philadelphia, PA 19130-4088 (215) 560-2253

Western Region State Office Building, Room 411 300 Liberty Avenue Pittsburgh, PA 15222-1210 (412) 565-7661 Pennsylvania Human Relations Commission (PHRC)

Within 180 calendar days of alleged occurrence

Harrisburg Regional Office 1101-1125 S. Front Street, 5th Floor Harrisburg, PA 17104-2515 (717) 787-9780 (voice) (717) 787-7279 (TT)

Philadelphia Regional Office 711 State Office Building 1400 Spring Garden Street Philadelphia, PA 19130-4088 (215) 560-2496 (215) 560-5500 (TT)

Pittsburgh Regional Office 11TH Floor State Office Building 300 Liberty Avenue Pittsburgh, PA 15222-1210 (412) 565-5395 (412) 565-5711 (TT)

Equal Employment Opportunity Commission (EE0C)

Within 300 calendar days of allegea occurrence (180 days for ADA complaints and immigration-related discrimination complaints)

Philadelphia Area Office Bourse Bldg. Suite 400 221 South 5th Street Philadelphia, FA 19106-2515 (215) 451-5700

Pittsburgh Area Office Liberty Center 1001 Liberty Avenue, Suite 300 Pittsburgh, PA 15222-4187 (412) 644-544-4 (412) 644-2720 (TTY)